

Quantum cryptography with many users

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• No-cloning and quantum key distribution (QKD)

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M. Epping, H. Kampermann, C. Macchiavello, and DB, New J. Phys. 19, 093012 (2017)

Cryptography



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Vernam cipher \equiv "one-time pad" (1917):

Encoding with secret random key (only known to Alice and Bob, not to Eve). Proven to be secure.

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Problem:

How to establish secret random key?

 \hookrightarrow quantum cryptography \equiv quantum key distribution (QKD)

Quantum Mechanics and the No-Cloning Theorem

Perfect cloning of an unknown quantum state is impossible. W.K. Wootters and W.H. Zurek, Nature **299**, 802 (1982)

Reason: Quantum mechanics is linear!

Time evolution:

 $|\psi(t)\rangle = \mathcal{U}(t)|\psi(0)\rangle; \quad \mathcal{U}(t) = e^{-\frac{i}{\hbar}\mathcal{H}t}; \quad \mathcal{U}^{\dagger}\mathcal{U} = \mathbf{1}$

Action of copying transformation \mathcal{U} on basis states (orthogonal):

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angle|i
angle &=& |0
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Action of \mathcal{U} on unknown state, $|\psi\rangle = \alpha |0\rangle + \beta |1\rangle$, with $|\alpha|^2 + |\beta|^2 = 1$:

$$\begin{aligned} \mathcal{U}|\psi\rangle|i\rangle &= \mathcal{U}(\alpha|0\rangle + \beta|1\rangle)|i\rangle \\ &= \alpha|0\rangle|0\rangle + \beta|1\rangle|1\rangle \neq |\psi\rangle|\psi\rangle \end{aligned}$$

Approximate cloning: see e.g. DB, D. DiVincenzo, A. Ekert, C. Fuchs,

C. Macchiavello, and J. Smolin, Phys. Rev. A 57, 2368 (1998)

C. Bennett and G. Brassard; Proc. IEEE Conf. on Comp. Syst. Signal Proc., 175 (1984)

Remember: non-orthogonal states cannot be cloned perfectly

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Basis 2: (e.g. rotated linear polarisation of photons)



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A and B use both bases to establish secret key (translate quantum states to classical 0's and 1's)

Quantum key distribution (BB84)

C. Bennett and G. Brassard; Proc. IEEE Conf. on Comp. Syst. Signal Proc., 175 (1984) Aim: secret joint random key for Alice and Bob (Vernam cipher)



- A sends random sequence (polar. photons): B measures randomly (two bases):
- A and B exchange class. info about basis, keep matching cases:
- → Alice and Bob have established secret random key!

 $\uparrow \nearrow \checkmark \rightarrow \nwarrow \uparrow \rightarrow \checkmark$ $\uparrow \rightarrow \checkmark \rightarrow \checkmark \rightarrow \checkmark$

 $1 \ r \ 0 \ 0 \ 1 \ r \ 0 \ r$

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- $1 \ r \ 0 \ 0 \ 1 \ r \ 0 \ r$
- $\,\hookrightarrow\,$ Alice and Bob have established secret random key!

Security: no-cloning theorem!

Most simple strategy of the spy Eve: "Intercept and resend" \hookrightarrow corruption of 1/4 bits of key; discovery of Eve by comparison of parts of key!



Trade-off for winning information:



Interaction of Eve introduces disturbance:

$$\begin{aligned} \mathcal{U}|0\rangle|E\rangle &= |0'\rangle|E_0\rangle \\ \mathcal{U}|\bar{1}\rangle|E\rangle &= |\bar{1}'\rangle|E_{\bar{1}}\rangle \end{aligned}$$

Unitarity:

$$\langle 0|\bar{1}\rangle \langle E|E\rangle = \langle 0'|\bar{1}'\rangle \langle E_0|E_{\bar{1}}\rangle$$

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 \hookrightarrow Maximal information of Eve, i.e. $\langle E_0 | E_{\bar{1}} \rangle$ minimal, for $\langle 0' | \bar{1}' \rangle = 1$, i.e. maximal disturbance of Bob's states. \hookrightarrow Always assume worst case: all noise is due to Eve.

What is entanglement of composite (pure) states?

$$\begin{split} |\psi\rangle = |a\rangle \otimes |b\rangle & \hookrightarrow \text{ separable} \\ |\psi\rangle \neq |a\rangle \otimes |b\rangle & \hookrightarrow \text{ entangled} \end{split}$$

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Example (separable): $|\psi\rangle = |00\rangle \equiv |0\rangle|0\rangle \equiv |0\rangle \otimes |0\rangle$

Example (entangled): Bell states

$$\begin{aligned} |\Phi^{\pm}\rangle &= \frac{1}{\sqrt{2}}(|00\rangle \pm |11\rangle) \\ |\Psi^{\pm}\rangle &= \frac{1}{\sqrt{2}}(|01\rangle \pm |10\rangle) \end{aligned}$$

Note: perfect correlations/anticorrelations for Bell states

A. Ekert, Phys. Rev. Lett. 67, 661 (1991) Aim: secret random key for Alice and Bob



- 1) A sends half of a Bell state to Bob: $|\phi^+\rangle_{AB} = \frac{1}{\sqrt{2}}(|00\rangle_{AB} + |11\rangle_{AB})$ A and B measure, use 2 bases randomly: \Rightarrow or \checkmark
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Security: monogamy of entanglement

V. Coffman, J. Kundu, and W. K. Wootters, Phys. Rev. A 61, 052306 (2000)



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 $E(B|A) + E(B|C) \le E(B|AC)$

QKD in reality: noisy entangled state, $\rho = p |\phi^+\rangle \langle \phi^+| + (1-p)\frac{1}{4}\mathbf{1}$, assume Eve to have purifying state (is partially correlated with A/B) \hookrightarrow security analysis

Quantum Key Distribution (QKD)



- Scenario: Alice und Bob have quantum channel (controlled by Eve) and classical channel (authenticated)
- Secure communication ⇔ Creation of a secret random key pair between Alice and Bob
- No restrictions on Eve

QKD: General description of a QKD protocol

Generic QKD Protocol



QKD: General description of a QKD protocol





Equivalence of prepare+measure QKD with entanglement-based QKD \hookrightarrow In the following: use entanglement-based scheme

Generalisation of QKD to more than two parties

M. Epping, H. Kampermann, C. Macchiavello, and DB, New J. Phys. 19, 093012 (2017)

Aim: establish joint secret random key between N parties, i.e. "conference key"



Establishing a conference key: Two possibilities

M. Epping, H. Kampermann, C. Macchiavello, and DB, New J. Phys. 19, 093012 (2017)

Using bipartite entanglement (2QKD):


Establishing a conference key: Two possibilities

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Using bipartite entanglement (2QKD):



... or using multipartite entanglement (NQKD):



Multipartite entanglement

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Multipartite entanglement of composite (pure) states of N parties:

$$\begin{split} |\psi\rangle = |a\rangle_{1,...,k} \otimes |b\rangle_{k+1,...,N} & \hookrightarrow \text{ separable across bipartite split} \\ |\psi\rangle \neq |a\rangle_{1,...,k} \otimes |b\rangle_{k+1,...,N} & \hookrightarrow \text{ multipartite entangled} \end{split}$$

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Example (separable): $|\psi\rangle = |0\rangle|0\rangle...|0\rangle$

Example (entangled): GHZ states of N qubits

$$|\psi_{j}^{\pm}\rangle = \frac{1}{\sqrt{2}}(|0\rangle|j\rangle \pm |1\rangle|\bar{j}\rangle)$$

where j takes values $0,...,2^{N-1}-1$ in binary notation; \bar{j} is negation of j, e.g. if j=010 then $\bar{j}=101$

Multipartite entanglement for QKD

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Theorem (Perfect resource state for multipartite QKD)

For N qubits, with $N \ge 3$, the state $|\phi_{corr}\rangle = a_{0,...,0}|0,...,0\rangle + a_{1,...,1}|1,...,1\rangle$ with $|a_{0,...,0}|^2 + |a_{1,...,1}|^2 = 1$ leads to perfect classical correlations between any number of parties, if and only if each of them measures in the z-basis.

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$$\begin{array}{l} \textit{Proof: ``{\Leftarrow'' clear;}}\\ \texttt{``{\Rightarrow'': observable } \mathcal{M}_{ij} \text{ of two parties } i \text{ and } j\text{:}}\\ \mathcal{M}_{ij} = (\vec{M_i} \cdot \vec{\sigma}) \otimes (\vec{M_j} \cdot \vec{\sigma}) = \sum_{\alpha, \beta \in \{x, y, z\}} M_i^{\alpha} M_j^{\beta} \sigma_i^{\alpha} \otimes \sigma_j^{\beta},\\ \langle \phi_{corr} | \sigma_i^{\alpha} \otimes \sigma_j^{\beta} | \phi_{corr} \rangle = 0 \quad \text{unless } \alpha = \beta = z,\\ \text{also } \langle \phi_{corr} | \sigma_i^{\alpha} \otimes \sigma_j^{\beta} | \phi_{corr} \rangle = 2[p_i^{\alpha}(+)p_j^{\beta}(+) + p_i^{\alpha}(-)p_j^{\beta}(-)] - 1,\\ \text{thus } p_i^{\alpha}(+)p_j^{\beta}(+) + p_i^{\alpha}(-)p_j^{\beta}(-) \neq 1, \text{ unless } \alpha = \beta = z. \end{array}$$

If one requires perfect correlations and uniformity of key, the *only* possible resource state is $|GHZ\rangle = \frac{1}{\sqrt{2}}(|0,...,0\rangle + |1,...,1\rangle).$

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 Measurement: First type of measurement: All parties measure their respective qubits in z-basis (→ key generation). Second type: parties measure randomly, with equal probability, in x- or y-basis (much less frequent).

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- 3) Parameter estimation: Parties use equal number of randomly chosen rounds of first and second type to estimate the error rates Q_Z, Q_X .

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- 4) *Classical post-processing:* As in the bipartite protocol, error correction and privacy amplification is performed.

Security analysis:

• Analogous to bipartite case, with modifications in worst-case error correction and depolarisation

R. Renner, N. Gisin, and B. Kraus, Phys. Rev. A 72, 012332 (2005)

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• Figure of merit: secret fraction r_{∞} ,

i.e. ratio of secret bits and number of shared states:

$$r_{\infty} = \sup_{U \leftarrow K} \inf_{\sigma_{A\{B_i\}} \in \Gamma} \left[S(U|E) - \max_{i \in \{1, \dots, N-1\}} H(U|K_i) \right],$$

with $U \leftarrow K$: bitwise preprocessing channel on A's raw key bit K, S(U|E): conditional von-Neumann entropy of (class.) key variable and E, $H(U|K_i)$: conditional Shannon entropy of U and B_i 's guess of it,

 Γ : set of all density matrices $\sigma_{A\{B_i\}}$ of A and B_i consistent with parameter estimation

Secret key rate: $R = r_{\infty}R_{rep}$ with repetition rate R_{rep}

Introduce (extended) depolarisation procedure, \hookrightarrow GHZ-diagonal state \hookrightarrow calculate secret fraction r_{∞} :

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$$r_{\infty} = \left(1 - \frac{Q_Z}{2} - Q_X\right) \log_2 \left(1 - \frac{Q_Z}{2} - Q_X\right) \\ + \left(Q_X - \frac{Q_Z}{2}\right) \log_2 \left(Q_X - \frac{Q_Z}{2}\right) \\ + (1 - Q_Z)(1 - \log_2(1 - Q_Z)) - h(\max_{1 \le i \le N-1} Q_{AB_i})$$

with Q_Z : probability that at least one B_i obtains different result than A in z-measurement, with Q_X : probability that at least one B_i obtains in x-measurement a result that is incompatible with noiseless state, binary entropy: $h(p) = -p \log_2 p - (1-p) \log_2 (1-p)$,

 Q_{AB_i} : probability that z-measurements of A and B_i disagree.

Example for explicit key rates

Noise model: mixture of GHZ-state and white noise (then $Q = Q_Z$)

$$r_{\infty}(Q,N) = 1 + h(Q) - h\left(Q\frac{2^{N}-1}{2^{N}-2}\right) - h\left(Q\frac{2^{N-1}}{2^{N}-2}\right) + \left(\log_{2}(2^{N-1}-1) - \frac{2^{N}-1}{2^{N}-2}\log_{2}(2^{N}-1)\right)Q,$$

Example for explicit key rates

quantum bit error rate Q

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$$\int_{0.8}^{0.6} \frac{1.0}{0.4} \int_{0.6}^{0.6} \frac{1.0}{0.00 - 0.05 - 0.10 - 0.15 - 0.20 - 0.25 - 0.30 - 0.35}$$
Key rates for $N = 2, 3, ..., 8$, from left to right.

Secret key rate as function of gate failure probability

Consider imperfect state preparation (depolarising noise): experimental creation of GHZ-state is more demanding with higher N!

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Advantage of NQKD in quantum networks

Consider quantum networks with routers (can produce and entangle qubits), fixed channel capacity:



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Consider quantum networks with routers (can produce and entangle qubits), fixed channel capacity:



For small gate failure probability: NQKD is better than 2QKD!



Connection to quantum network coding

Distribution of GHZ-state in above network, with quantum operations at node C (router), and fixed channel capacities for all links:



Connection to quantum network coding

Distribution of GHZ-state in above network, with quantum operations at node C (router), and fixed channel capacities for all links:



- A produces Bell state and sends only one qubit C to router: $|---\rangle_{CA} = \frac{1}{\sqrt{2}}(|0+\rangle + |1-\rangle)_{CA}$
- C produces (N-1) qubits and entangles them with C via C_z gates: $|\psi_{\text{total}}\rangle = \frac{1}{\sqrt{2}}(|+\rangle_C |GHZ'\rangle_{AB_i} + |-\rangle_C X_{B_1} |GHZ'\rangle_{AB_i})$ where $|GHZ'\rangle$ is GHZ-state in X-basis.
- Router measures qubit C in X-basis and distributes qubits to B_i .
- Impossible to create (N-1) Bell pairs by sending single qubit from A to router; need (N-1) network uses.
- M. Epping, H. Kampermann, and DB, New J. Phys. 18, 103052 (2016)

Further developments on multipartite QKD

• Device-independent scenario:

J. Ribeiro, G. Murta, and S. Wehner, arXiv:1708.00798v2 [quant-ph]



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• Device-independent scenario:

J. Ribeiro, G. Murta, and S. Wehner, arXiv:1708.00798v2 [quant-ph]



• Finite key effects:

F. Grasselli, H. Kampermann, and DB, New J. Phys. 20, 113014 (2018)



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Summary and open questions

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Quantum Information Theory in Düsseldorf

Institut für Theoretische Physik III, Heinrich-Heine-Universität Düsseldorf, Germany



from left to right: C. Keller, B. Sanvee, L. Tendick, M. Zibull, F. Bischof, J. Bremer, J. Szangolies, M. Battiato, S. Jansen, H. Kampermann, C. Glowacki, DB, T. Holz, T. Mihaescu, M. Epping, D. Miller

